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Jeffrey R. Kuester

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MAY 24 2001

Technology Center 2100

In re application of:

Stephens, et al.

Art Unit: 2131

Serial No: 09/702,483

Filed: October 31, 2000

Docket No.: 191406-1010

For: **SYSTEM AND METHOD FOR SECURE MANAGEMENT OR  
REMOTE SYSTEMS**

The following is a list of documents enclosed:

Return Postcard

Request for Correction of Filing Receipt

Copy of Original Filing Receipt

Copy of originally filed unsigned Declaration of Edwin Powali

CorrectFilingReceipt



#4

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Art Unit: 2131

**Stephens, et al.**

Serial No: 09/702,483

Docket No.: 191406-1010

Filed: October 31, 2000

For: **SYSTEM AND METHOD FOR SECURE MANAGEMENT OR  
REMOTE SYSTEMS**

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MAY 24 2001

**REQUEST FOR CORRECTION TO THE FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D. C. 20231

Technology Center 2100

Sir:

Applicant hereby advises the Commissioner that the city and state for inventor Edwin Powali, is incorrectly identified as Hillsborough, CO. In addition, the priority information was not included.

Therefore, Applicant hereby requests that the city and state for inventor Edwin Powali be changed to Tampa, FL; and, the priority information be identified as: U.S. Provisional Patent Serial No. 60/211,399, filed June 14, 2000., and that a Corrected Filing Receipt be issued to the undersigned attorney.

Respectfully submitted,

  
Jeffrey R. Knester; Reg. No. 34,367  
Attorney for Applicant

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**

100 Galleria Parkway, Suite 1750  
Atlanta, Georgia 30339-5948

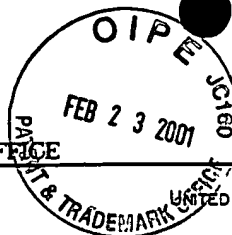
Date: 2/14/01

Customer No.: 24504

Docket: 191406-1010



## UNITED STATES PATENT AND TRADEMARK OFFICE



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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/702,483	10/31/2000	2131	1348	191406-1010	18	51	4

24504  
THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP  
100 GALLERIA PARKWAY, NW  
STE 1750  
ATLANTA, GA 30339-5948

## FILING RECEIPT



\*OC000000005733784\*

Date Mailed: 02/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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## Applicant(s)

Daniel Guy Stephens JR., St. Petersburg, FL ;  
Edwin Powali, Hillsborough, CO ;  
Stephen Lombard, St. Petersburg, FL ;

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## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 02/04/2001

## Title

System and method for secure management or remote systems

HORSTEMEYER &amp; RISLEY, LLP

FEB 08 2001

## Preliminary Class

713

Data entry by : HARRISON, BRUCE

Team : OIPE

Date: 02/05/2001



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**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
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Any corrections that may need to be done to your Filing Receipt should be directed to:

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# DECLARATION FOR PATENT APPLICATION

Attorney Docket No: 191406-1010

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled \_\_\_\_\_, the specification of which:

☐ is attached hereto.

☒ was filed on October 31, 2000 as Application Serial No. 09/702,483.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim the benefit under Title 35, United States Code, §119 of any United States provisional patent application, foreign application(s) for patent or inventor's certificate listed below and have also identified below any United States provisional patent application, foreign application for patent or inventor's certificate having a filing date before that of the above-identified application on which priority is claimed: **U.S. Provisional Patent Serial No. 60/211,399, filed June 14, 2000.**

I hereby claim the benefit under Title 35, United States Code, §120 of any United States patent application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: **NOT APPLICABLE.**

I hereby appoint the following attorneys/agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: George M. Thomas, Reg. No. 22,260; James W. Kayden, Reg. No. 31,532; Scott A. Horstemeyer, Reg. No. 34,183; Stephen R. Risley, Reg. No. 35,659; Jeffrey R. Kuester, Reg. No. 34,367; Daniel J. Santos, Reg. No. 40,158; Michael J. Tempel, Reg. No. 41,344; Daniel R. McClure, Reg. No. 38,962; Robert E. Stachler II, Reg. No. 36,934; David P. Kelley, Reg. No. 17,420; Reg. No. 41,344; David R. Risley, Reg. No. 39,345; Jon E. Holland, Reg. No. 41,077; Dan R. Gresham, Reg. No. 41,805; J. Scott Culpepper, Reg. No. 41,692; M. Paul Qualey, Reg. No. 43,024; Robert P. Biddle, Reg. No. 35,826; Robert A. Blaha, Reg. No. 43,502; Jennifer M. Gruber, Reg. No. 42,601; Raymond W. Armentrout, Reg. No. 45,866; Cynthia J. Lee, Reg. No. 46,033; N. Andrew Crain, Reg. No. 45,442; Monica H. Winghart, Reg. No. 46,790; Sami O. Malas, Reg. No. 44,893; Marianne H. Parker, Reg. No. 46,169; Eric M. Ringer, Reg. No. 47,028

I hereby declare under penalty of perjury under the laws of the United States of America that all statements made herein of my own knowledge are true and correct and that all statements made on information and belief are believed to be true and correct; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
(SECOND of THREE Inventors)

Full Name of Inventor: Edwin Powali

Residence: 16217 Sawgrass Circle Citizenship: US

Tampa, Florida 33624

Post Office Address: 16217 Sawgrass Circle

Tampa, Florida 33624

*TWO Additional Separate Declarations Attached.*

declar\_powali

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Bib Data Sheet

CONFIRMATION NO. 9819

<b>SERIAL NUMBER</b> 09/702,483	<b>FILING DATE</b> 10/31/2000 <b>RULE</b>	<b>CLASS</b> 713	<b>GROUP ART UNIT</b> 2131	<b>ATTORNEY DOCKET NO.</b> 191406-1010
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**APPLICANTS**

Daniel Guy Stephens JR., St. Peterburg, FL;  
Edwin Powali, Tampa, FL;  
Stephen Lombard, St. Petersburg, FL;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLN CLAIMS BENEFIT OF 60/211,399 06/14/2000

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

**IF REQUIRED, FOREIGN FILING LICENSE**  
**GRANTED \*\* 02/04/2001**

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> FL	<b>SHEETS DRAWING</b> 18	<b>TOTAL CLAIMS</b> 51	<b>INDEPENDENT CLAIMS</b> 4
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

**ADDRESS**

24504

**TITLE**

System and method for secure management or remote systems

<b>FILING FEE RECEIVED</b> 1478	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
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		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
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